

Nonlinear extensions of linear recurrence sequences

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Based on works with:

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$$a : \mathbb{N} \rightarrow \mathbb{Q}$$

For example $a_n = n^2$

$$a_0 = 0, \quad a_{n+1} = a_n + 2b_n + c_n$$

$$b_0 = 0, \quad b_{n+1} = b_n + c_n$$

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Can be also defined by $a_{n+3} = 3a_{n+2} - 3a_{n+1} + a_n$

In general $u_{n+k} = \sum_{i=0}^{k-1} \alpha_i u_{n+i}$

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$$\text{e.g. } F_n = \frac{1}{\sqrt{5}}\varphi^n + \frac{1}{\sqrt{5}}\psi^n$$

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We work over \mathbb{Q}

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Change matrices M_a to polynomial maps

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Polyrec formally

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If P_i are rational functions this is a larger class called ratrec

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Derivation tree: product of weights, sum over all derivation trees

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MSO sequences

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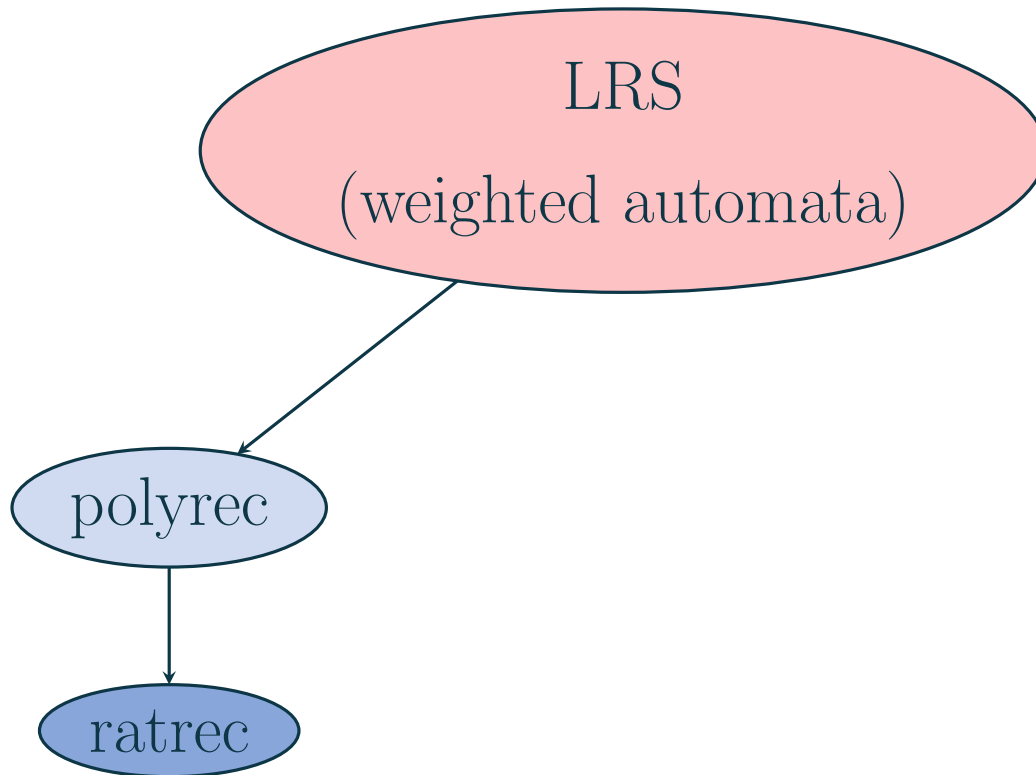
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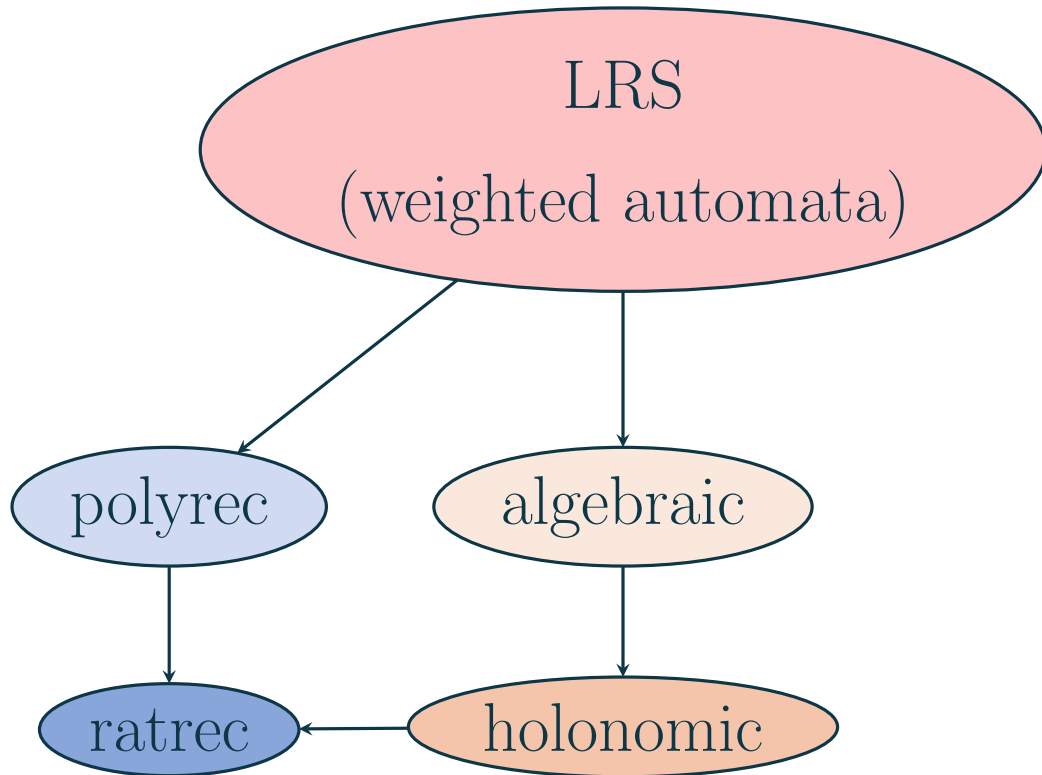
Nonlinear extensions summary

LRS
(weighted automata)

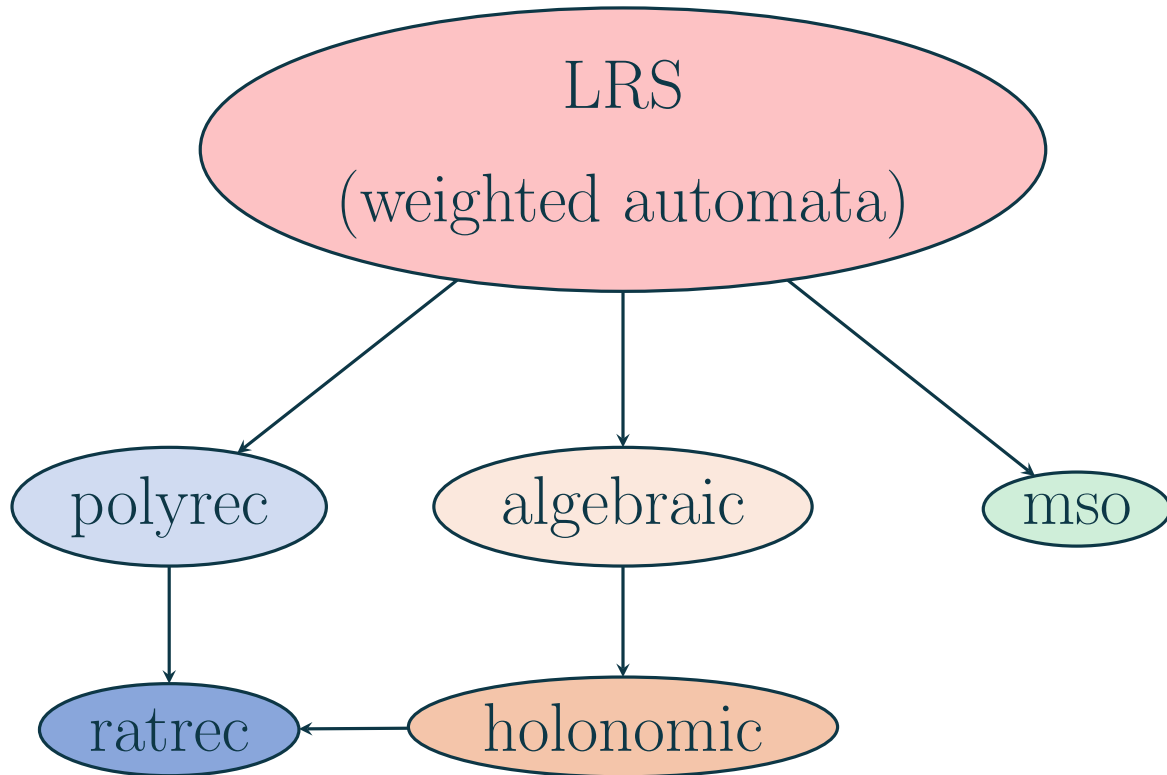
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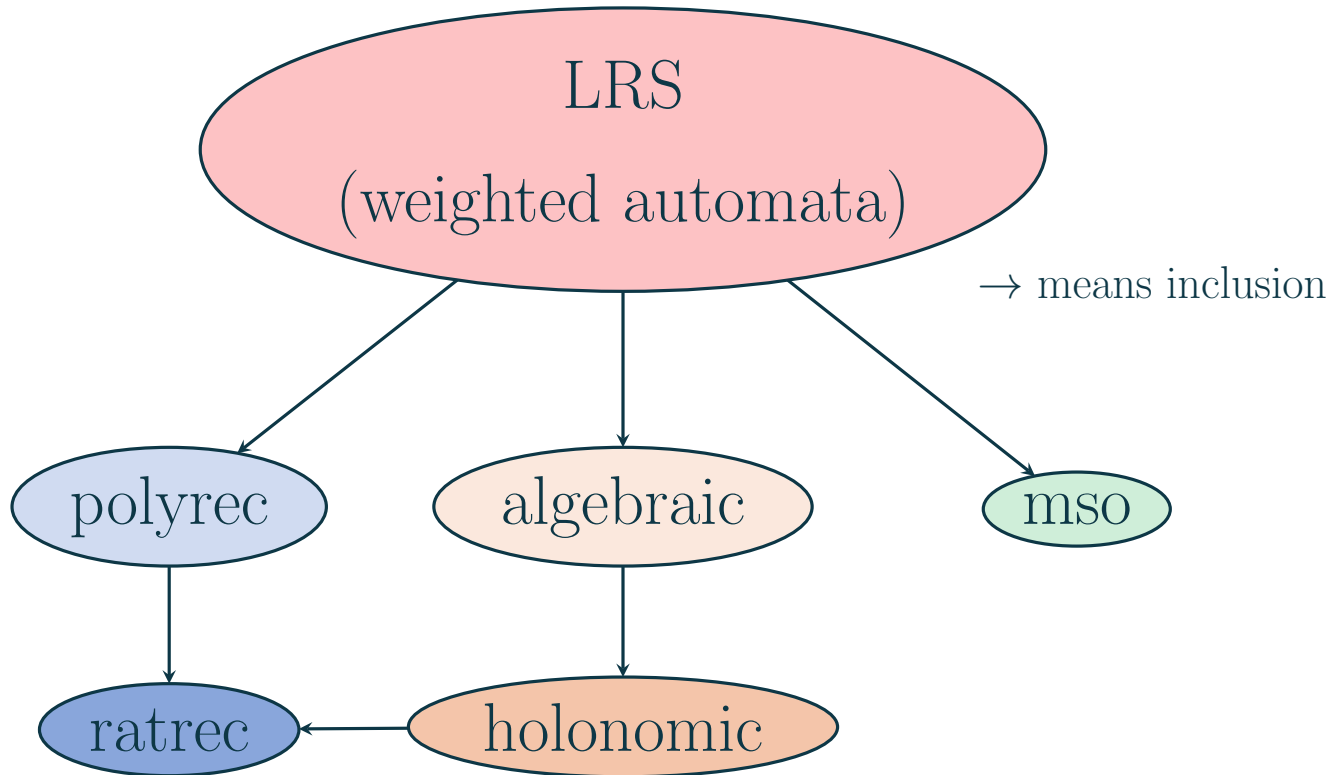
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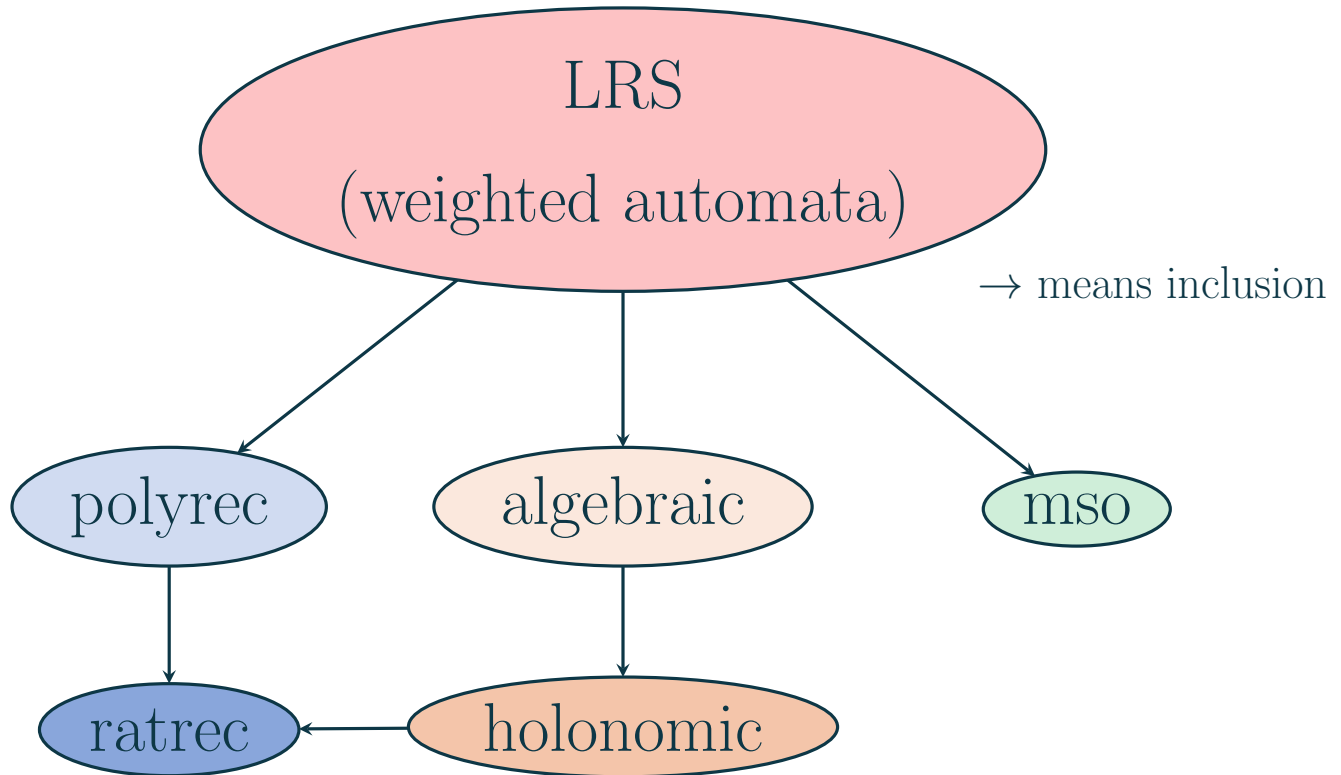
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Goal: show that there are no other inclusions.

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Also algebraic are strictly contained in holonomic

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This makes sense for p big enough ($a_n^i \in \mathbb{Q}$)

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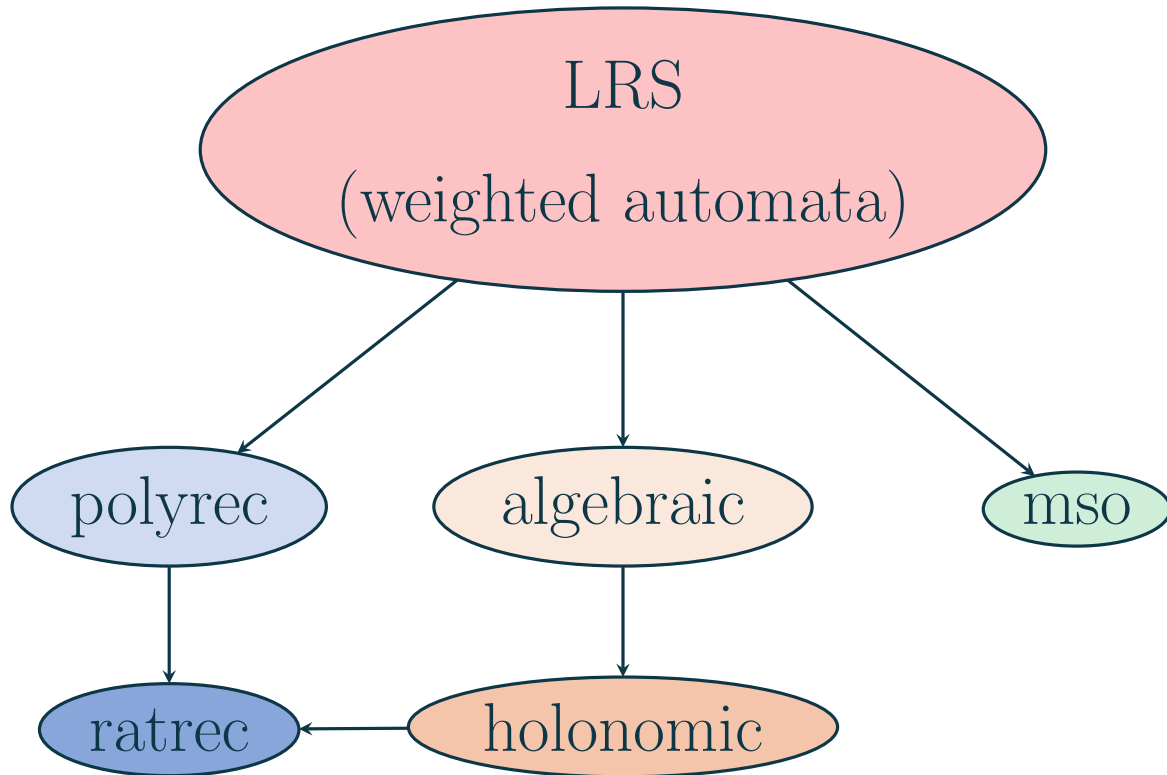
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It remains to compare polyrec/ratrec with MSO sequences

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- Asymptotic argument?

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How to show it's not polyrec?

- Asymptotic argument?

$$n! \leq n^n \leq 3^n \cdot n!$$

- Ultimate periodicity?

$$a_{n+p(p-1)} = (n + p(p-1))^{n+p(p-1)}$$

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$$\prod_x \sum_y 1, \quad \text{i.e. } a_n = n^n$$

How to show it's not polyrec?

- Asymptotic argument?

$$n! \leq n^n \leq 3^n \cdot n!$$

- Ultimate periodicity?

$$a_{n+p(p-1)} = (n + p(p-1))^{n+p(p-1)}$$

$$\equiv n^n \cdot n^{p(p-1)} \equiv n^n \pmod{p}$$

Cancelling polynomials

Definition

A sequence a_n admits a cancelling polynomial if there exists $k \in \mathbb{N}$ and nonzero $Z \in \mathbb{Q}[x_0, \dots, x_k]$ such that $Z(a_n, a_{n+1}, \dots, a_{n+k}) = 0$ for all n .

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For $a_n = n!$ observe that

$$\frac{(n+2)!}{(n+1)!} = \frac{(n+1)!}{(n)!} + 1$$

Take $k = 2$ and $Z(x_0, x_1, x_2) = x_2 \cdot x_0 - (x_1)^2 - x_1 \cdot x_0$

Cancelling polynomials

Theorem

Polyrec and ratrec sequences admit cancelling polynomials

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This is not unique, consider $k = 0$ and $(x_0)^2 - 1$

Cancelling polynomial of any (not polyrec even) sequence over $\{-1, 1\}$

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Cancelling polynomial of any (not polyrec even) sequence over $\{-1, 1\}$

Corollary: polyrec and ratrec do not contain MSO sequences

A polyrec sequence which is not an MSO sequence

Well, we don't know.

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Conjecture

$a_n = F_{F_n}$ is not an MSO sequence, where F_n is Fibonacci

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Maybe that double exponential comes from \prod_X

Composing LRS over \mathbb{N}

Theorem (Sénizergues)

If a_n, b_n are LRS $\mathbb{N} \rightarrow \mathbb{N}$ then $c_n = a_{b_n}$ is polyrec

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To express this we use 8 sequences

a_n, b_n, c_n, d_n (Fibonacci), x_n, y_n, z_n, w_n (previous Fibonacci)

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Note that we can compose only once (asymptotics)

Two definitions of sequences

For LRS

$$1. a_{n+k} = \sum_{i=0}^{k-1} \alpha_i a_{n+i} \quad (\text{one sequence})$$

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Conversely see part 2

One sequence definition for polyrec?

$$a_{n+k} = P(a_n, a_{n+1}, \dots, a_{n+k-1}) \text{ for some } P \in \mathbb{Q}[x_0, \dots, x_{k-1}]$$

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Asymptotic reasons (next slide)

$n!$ not definable by one polynomial

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Observation: $|A(a_n, a_{n+1}, \dots, a_{n+k-1})| < c \cdot (n+k-1)! < (n+k)!$

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Thus $Q = 0$ (contradiction)

What about ratrec definable by one polynomial?

$$a_{n+k} = R(a_n, a_{n+1}, \dots, a_{n+k-1}) \text{ for some } R \in \mathbb{Q}(x_0, \dots, x_{k-1})$$

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ratrec can be defined with one sequence

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Theorem (Tabugua and Worrell 2024)

This is true for holonomic sequences

Problematic sequence

Given a ratrec defined with d sequences

One would conjecture that the recursion depth is $k = f(d)$

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$$u_n = P_m(n)$$

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In general if $a_0, a_1, \dots, a_{k-1}, a_k = 0$ then $a_n = 0$ (for all n)

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Can be defined as a system of $d = 2$ sequences (using $b_n = n$)

In general if $a_0, a_1, \dots, a_{k-1}, a_k = 0$ then $a_n = 0$ (for all n)

But the first nonzero element of u_n depends on m (independent of $d = 2$)

Possible application

Checking if ratrec is well-defined is Skolem hard

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But the conjecture could be applied to polyrec

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Currently we know PSPACE-hardness and Ackermann upper bound.

Conclusion

- polyrec is interesting

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- ratrec is interesting

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- polyrec is interesting
- ratrec is interesting
- Are MSO sequences interesting?

Cancelling polynomial for polyrec and ratrec

Recall LRS $a_n = I^\top M^n F$ (system of sequences)

$$\text{Goal: } a_{n+k} = \sum_{i=0}^{k-1} \alpha_i a_{n+i}$$

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Consider $R : \mathbb{Q}^k \rightarrow \mathbb{Q}^{k+1}$

$$R(\vec{x}) = (I^\top M^0 \vec{x}, I^\top M^0 \vec{x}, \dots, I^\top M^k \vec{x})$$

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So there is nonzero $K : \mathbb{Q}^{k+1} \rightarrow \mathbb{Q}$ s.t. $\text{Im}(R) = \ker(K)$

which defines the recursion

Cancelling polynomial for polyrec and ratrec

$$a_{n+1}^1 = P_1(a_n^1, \dots, a_n^k)$$

$$a_{n+1}^2 = P_2(a_n^1, \dots, a_n^k)$$

\vdots

$$a_{n+1}^k = P_k(a_n^1, \dots, a_n^k)$$

Goal nonzero $Z \in \mathbb{Q}[x_0, \dots, x_k]$ such that $Z(a_n, a_{n+1}, \dots, a_{n+k}) = 0$ for all n

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In $\mathbb{Q}(x_1, \dots, x_k)$ every $k + 1$ elements are algebraically dependent.

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Define $P_i^t \in \mathbb{Q}[x_1, \dots, x_k]$

$$P_i^0(x_1, \dots, x_k) = x_i, \quad \text{and } P_i^t(\vec{x}) = P_i(P_1^{t-1}(\vec{x}), \dots, P_k^{t-1}(\vec{x})).$$

Cancelling polynomial for polyrec and ratrec

Observation: $P_i^t(a_n^1, \dots, a_n^k) = a_{n+t}^i$

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Consider the polynomials $P_1^0, P_1^1, \dots, P_1^k \in \mathbb{Q}[x_1, \dots, x_k]$

They are algebraically dependent

So there is $R \in \mathbb{Q}[x_1, \dots, x_k]$, which is the cancelling polynomial for a_n

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Note: we can assume $R \in \mathbb{Z}[x_1, \dots, x_k]$

No cancelling polynomial for n^n

Lemma

Suppose $0 \neq Z \in \mathbb{Z}[x_0, \dots, x_k]$. There exists $P_1, \dots, P_m, Q_1, \dots, Q_m \in \mathbb{Z}[x]$ s.t. $Z(n^n, (n+1)^{n+1}, \dots, (n+k)^{n+k}) = \sum_{i=1}^m P_i(n)^n \cdot Q_i(n)$ for all n .

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Observe $Q_i(n) \equiv Q_i(a) \pmod{p}$, and $P_i(n)^n \equiv P_i(a)^b \pmod{p}$

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$$\vec{u}_a = (Q_1(a), \dots, Q_m(a))$$

$$D_a = \begin{pmatrix} P_1(a) & P_1(a)^2 & \dots & P_1(a)^m \\ P_2(a) & P_2(a)^2 & \dots & P_2(a)^m \\ \vdots & & & \\ P_m(a) & P_m(a)^2 & \dots & P_m(a)^m \end{pmatrix}$$

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(Almost) Vandermonde matrix

Theorem

$$\det(D_a) = \prod_{i=1}^m P_i(a) \cdot \prod_{1 \leq i < j \leq m} (P_i(a) - P_j(a))$$

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Take big p

and recall that nonzero polynomials have bounded number of zeros